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PATENT
Attorney Docket: H0001266

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Newbury, Reg. No. 38,940



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TECHNOLOGY CENTER 2800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Raymond W. Borden, et al	Art Unit: 2834
Serial No.: 09/834,595	Examiner: Thanh Lam
Filing Date: 04/13/2001	
For: MULTI-POLE HIGH SPEED GENERATOR WITH A MODULAR RECTIFIER CIRCUIT	

COMMENTARY RE SUPPLEMENTRY INFORMATION DISCLOSURE STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Dear Sir:

In accordance with 37 CFR §1.56, and in recognition of the duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicant herewith submits a copy of the prior art listed on the attached Information Disclosure Statement (Form PTO-1449). The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

The enclosed references were recently provided to the undersigned in a Search Report issued by the European Patent Office. The Search Report resulted from a PCT application corresponding to the above-referenced U.S. application. It is submitted that the references should be considered relevant since they have been indicated as such by an independent searching authority.

The present Information Disclosure Statement is being filed without a Certification under 37 CFR § 1.97(e) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action or Notice of Allowance (whichever is earlier), and therefore authorization to charge the appropriate fee under 37 CFR §1.17(p) is attached.

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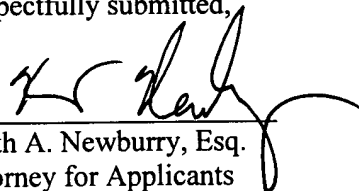
Please consider the references, make such consideration of record on the enclosed Form PTO-1449, and make an independent determination as to whether the claims remain allowable.

Applicant respectfully requests that the disclosed reference be made of record in the subject application.

Respectfully submitted,

Date: _____

8-1-02

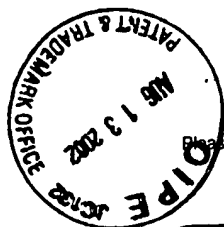


Keith A. Newbury, Esq.
Attorney for Applicants
Reg. No. 38,980

Honeywell
Legal Dept. AB2
P.O. Box 2245
Morristown, NJ 07962-9806
(602) 365-4877

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/834,595	
	Filing Date	04/13/2001	
	First Named Inventor	Raymond W. Borden, et al.	
	Group Art Unit	2834	
	Examiner Name	Thanh Lam	
Total Number of Pages in This Submission	28	Attorney Docket Number	H0001266

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) (please identify below):
Remarks: Enclosed are: - Fee Transmittal; - Commentary Re Supplementary Information Disclosure Statement; - Information Disclosure Statement By Applicant; - PCT International Search Report with 2 references; and - Postcard.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Keith Newbury, Esq., Reg. No. 38,980
Signature	<i>[Handwritten Signature]</i>
Date	8-1-02

CERTIFICATE OF MAILING			
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Typed or printed name	Keith Newbury, Esq.	Date	8-1-02
Signature	<i>[Handwritten Signature]</i>		

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Complete if Known	
Application Number	09/834,595
Filing Date	04/13/2001
First Named Inventor	Raymond W. Borden, et al.
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METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																					
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 01-1125</p> <p>Deposit Account Name: Honeywell (a/k/a Allied Signal)</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table border="1"><thead><tr><th>Large Entity Fee Code</th><th>Small Entity Fee Code</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130 205 65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>127</td><td>50 227 25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr><tr><td>139</td><td>130 139 130</td><td>Non-English specification</td><td></td></tr><tr><td>147</td><td>2,520 147 2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>112</td><td>920* 112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840* 113 1,840</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110 215 55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116</td><td>390 216 195</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>890 217 445</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>1,390 218 695</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>1,890 228 945</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119</td><td>310 219 155</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>310 220 155</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>270 221 135</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510 138 1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110 240 55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141</td><td>1,240 241 620</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142</td><td>1,240 242 620</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>440 243 220</td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>600 244 300</td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>130 122 130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50 123 50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126</td><td>240 126 240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581</td><td>40 581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146</td><td>710 246 355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149</td><td>710 249 355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179</td><td>710 279 355</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169</td><td>900 169 900</td><td>Request for expedited examination of a design application</td><td></td></tr></tbody></table> <p>Other fee (specify) Supplementary IDS 180</p> <p>* Reduced by Basic Filing Fee Paid</p>		Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	105	130 205 65	Surcharge - late filing fee or oath		127	50 227 25	Surcharge - late provisional filing fee or cover sheet.		139	130 139 130	Non-English specification		147	2,520 147 2,520	For filing a request for <i>ex parte</i> reexamination		112	920* 112 920*	Requesting publication of SIR prior to Examiner action		113	1,840* 113 1,840	Requesting publication of SIR after Examiner action		115	110 215 55	Extension for reply within first month		116	390 216 195	Extension for reply within second month		117	890 217 445	Extension for reply within third month		118	1,390 218 695	Extension for reply within fourth month		128	1,890 228 945	Extension for reply within fifth month		119	310 219 155	Notice of Appeal		120	310 220 155	Filing a brief in support of an appeal		121	270 221 135	Request for oral hearing		138	1,510 138 1,510	Petition to institute a public use proceeding		140	110 240 55	Petition to revive - unavoidable		141	1,240 241 620	Petition to revive - unintentional		142	1,240 242 620	Utility issue fee (or reissue)		143	440 243 220	Design issue fee		144	600 244 300	Plant issue fee		122	130 122 130	Petitions to the Commissioner		123	50 123 50	Petitions related to provisional applications		126	240 126 240	Submission of Information Disclosure Stmt		581	40 581 40	Recording each patent assignment per property (times number of properties)		146	710 246 355	Filing a submission after final rejection (37 CFR § 1.129(a))		149	710 249 355	For each additional invention to be examined (37 CFR § 1.129(b))		179	710 279 355	Request for Continued Examination (RCE)		169	900 169 900	Request for expedited examination of a design application	
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SUBMITTED BY		Complete (if applicable)	
Name (Print Type)	Keith Newbury	Registration No. (Attorney/Agent)	38,980
Signature		Telephone	602-365-4877
		Date	8-1-02